

### AMENDMENT NO. 1

This Amendment modifies Contract No. 1528-14472 A, Section No. 14-6PESV-01-ES, for Preliminary Engineering Services by and between the County of Cook, Illinois, herein referred to as "County" and Globetrotters Engineering Corporation, authorized to do business in the State of Illinois hereinafter referred to as "Contractor":

### RECITALS

Whereas, the County and Contractor have entered into a Contract approved by the County Board on June 8, 2016, (hereinafter referred to as the "Contract"), wherein the Contractor is to provide engineering services (hereinafter referred to as the "Services") from June 15, 2016 through June 14, 2019 with two (2), one (1), year renewal options, in an amount not to exceed \$2,200,000.00; and

Whereas, the Contract will expire on June 14, 2019, and the agreed upon Services are still required; and

Whereas, an increase in the amount of \$1,300,000.00 is required for the continuation of Services; and

Whereas, the County and Contractor desire to renew the Contract for 12 months beginning on June 15, 2019 through June 14, 2020.

Now therefore, in consideration of mutual covenants contained herein, it is agreed by and between the parties to amend the Contract as follows:

1. The Contract is renewed through June 14, 2020.
2. The Contract is increased by \$1,300,000.00 and the Total Contract Amount is revised to \$3,500,000.00.
3. The attached Identification of Sub-Contractors/Suppliers/Sub-Consultants Form, DBE Utilization Plan forms and Economic Disclosures Statement under Attachment A are incorporated and made a part of this contract.
4. All other terms and conditions remain as stated in the Contract.

In witness whereof, the County and Contractor have caused this Amendment No. 1 to be executed on the date and year last written below.

County of Cook, Illinois

By: Jim E. R.  
Chief Procurement Officer

Date: 7 June 2018

By: James Beligant  
State's Attorney

James Beligant  
Type or print name

Date: 5-25-18

Globetrotters Engineering Corporation

Signed: [Signature]

Ajay N. Shah

Type or print name

CEO

Title

Date: April 4, 2018

ATTACHMENT A

**Cook County**  
**Office of the Chief Procurement Officer**  
**Identification of Subcontractor/Supplier/Subconsultant Form**

<b>OCPO ONLY:</b>	
<input checked="checked" type="checkbox"/>	Disqualification
<input checked="checked" type="checkbox"/>	Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. **The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract.** In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

Bid/RFP/RFQ No.: 1528-14472 A - A1	Date: April 13, 2018
Total Bid or Proposal Amount: DUR	Contract Title: Preliminary Engineering Services
Contractor: Globetrotters Engineering Corporation	Subcontractor/Supplier/ Subconsultant to be added or substitute: Jones Moore Construction & Consulting, LLC
Authorized Contact for Contractor: Michael J. McMurray	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Shilu Agrawal
Email Address (Contractor): marketing@gec-group.com	Email Address (Subcontractor): shilu@jones-moore.com
Company Address (Contractor): 300 S. Wacker Drive, Suite 400	Company Address (Subcontractor): 180 N. Michigan Ave., #2407
City, State and Zip (Contractor): Chicago, IL 60606	City, State and Zip (Subcontractor): Chicago, IL 60601
Telephone and Fax (Contractor): T: (312) 922-6400 F: (312) 922-0267	Telephone and Fax (Subcontractor): T: (312) 782-8970 F: (312) 782-8990
Estimated Start and Completion Dates (Contractor): June 2019 - June 2020	Estimated Start and Completion Dates (Subcontractor): June 2019 - June 2020

**Note:** Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Civil Engineering Assistance	TBD - 9.7%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

Globetrotters Engineering Corporation

Contractor

Michael J. McMurray

Name

President

Title

  
 Prime Contractor Signature

April 13, 2018

Date

**Cook County**  
**Office of the Chief Procurement Officer**  
**Identification of Subcontractor/Supplier/Subconsultant Form**

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Bid/RFP/RFQ No.: 1528-14472 A - A1	Date: April 13, 2018
Total Bid or Proposal Amount: DUR	Contract Title: Preliminary Engineering Services
Contractor: Globetrotters Engineering Corporation	Subcontractor/Supplier/ Subconsultant to be added or substitute: Ardmore Roderick
Authorized Contact for Contractor: Michael J. McMurray	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Rashod R. Johnson, P.E.
Email Address (Contractor): marketing@gec-group.com	Email Address (Subcontractor): rashod@ardmoreroderick.com
Company Address (Contractor): 300 S. Wacker Drive, Suite 400	Company Address (Subcontractor): 1327 W. Washington Blvd. Suite 105
City, State and Zip (Contractor): Chicago, IL 60606	City, State and Zip (Subcontractor): Chicago, IL 60607
Telephone and Fax (Contractor): T: (312) 922-6400 F: (312) 922-0267	Telephone and Fax (Subcontractor): T: (312) 795-1400 F: (773) 289-0567
Estimated Start and Completion Dates (Contractor): June 2019 - June 2020	Estimated Start and Completion Dates (Subcontractor): June 2019 - June 2020

**Note:** Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Surveying Services	TBD - 5%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

Globetrotters Engineering Corporation

Contractor

Michael J. McMurray

Name

President

Title

  
 Prime Contractor Signature

April 13, 2018

Date

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**Office of the Chief Procurement Officer**  
**Identification of Subcontractor/Supplier/Subconsultant Form**

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Bid/RFP/RFQ No.: 1528-14472 A - A1	Date: April 13, 2018
Total Bid or Proposal Amount: DUR	Contract Title: Preliminary Engineering Services
Contractor: Globetrotters Engineering Corporation	Subcontractor/Supplier/ Subconsultant to be added or substitute: Wynndalco Enterprises, LLC
Authorized Contact for Contractor: Michael J. McMurray	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Jeffrey Ehrhart
Email Address (Contractor): marketing@gec-group.com	Email Address (Subcontractor): j.ehrhart@wynndalco.com
Company Address (Contractor): 300 S. Wacker Drive, Suite 400	Company Address (Subcontractor): 19081 Old LaGrange Road, Suite 106
City, State and Zip (Contractor): Chicago, IL 60606	City, State and Zip (Subcontractor): Mokena, IL 60448
Telephone and Fax (Contractor): T: (312) 922-6400 F: (312) 922-0267	Telephone and Fax (Subcontractor): T: (312) 256-9090 F: (312) 256-9091
Estimated Start and Completion Dates (Contractor): June 2019 - June 2020	Estimated Start and Completion Dates (Subcontractor): June 2019 - June 2020

**Note:** Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Structural Engineering and Maintenance of Traffic Services	TBD - 6.3%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

Globetrotters Engineering Corporation

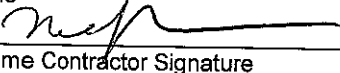
Contractor

Michael J. McMurray

Name

President

Title



Prime Contractor Signature

April 13, 2018

Date

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**Office of the Chief Procurement Officer**  
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Bid/RFP/RFQ No.: 1528-14472 A - A1	Date: April 13, 2018
Total Bid or Proposal Amount: DUR	Contract Title: Preliminary Engineering Services
Contractor: Globetrotters Engineering Corporation	Subcontractor/Supplier/ Subconsultant to be added or substitute: SPAAN Tech, Inc.
Authorized Contact for Contractor: Michael J. McMurray	Authorized Contact for Subcontractor/Supplier/ Smita N. Shah Subconsultant:
Email Address (Contractor): marketing@gec-group.com	Email Address (Subcontractor): submittals@spaantech.com
Company Address (Contractor): 300 S. Wacker Drive, Suite 400	Company Address (Subcontractor): 311 S. Wacker Dr., Suite 2400
City, State and Zip (Contractor): Chicago, IL 60606	City, State and Zip (Subcontractor): Chicago, IL 60606
Telephone and Fax (Contractor): T: (312) 922-6400 F: (312) 922-0267	Telephone and Fax (Subcontractor): T: (312) 277-8810 F: (312) 277-8817
Estimated Start and Completion Dates (Contractor): June 2019 - June 2020	Estimated Start and Completion Dates (Subcontractor): June 2019 - June 2020

**Note:** Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Drainage/Hydraulic and Civil Engineering Services	TBD - 10%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

Globetrotters Engineering Corporation

Contractor

Michael J. McMurray

Name

President

Title

  
 Prime Contractor Signature

April 13, 2018

Date

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**Office of the Chief Procurement Officer**  
**Identification of Subcontractor/Supplier/Subconsultant Form**

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Bid/RFP/RFQ No.: 1528-14472 A - A1	Date: April 13, 2018
Total Bid or Proposal Amount: DUR	Contract Title: Preliminary Engineering Services
Contractor: Globetrotters Engineering Corporation	Subcontractor/Supplier/ Subconsultant to be added or substitute: Kowalenko Consulting Group, Inc.
Authorized Contact for Contractor: Michael J. McMurray	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Emma Kowalenko
Email Address (Contractor): marketing@gec-group.com	Email Address (Subcontractor): ekowalenko@kowalenkogroup.com
Company Address (Contractor): 300 S. Wacker Drive, Suite 400	Company Address (Subcontractor): 474 N. Central Ave., Suite 205
City, State and Zip (Contractor): Chicago, IL 60606	City, State and Zip (Subcontractor): Highland Park, IL 60035
Telephone and Fax (Contractor): T: (312) 922-6400 F: (312) 922-0267	Telephone and Fax (Subcontractor): T: (847) 433-8747 F: (844) 270-5522
Estimated Start and Completion Dates (Contractor): June 2019 - June 2020	Estimated Start and Completion Dates (Subcontractor): June 2019 - June 2020

**Note:** Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Environmental Services	TBD - 6%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

Globetrotters Engineering Corporation


Contractor

Michael J. McMurray

Name

President

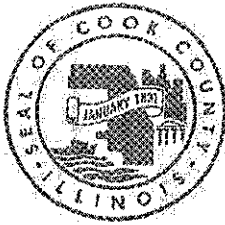
Title



April 13, 2018

Prime Contractor Signature

Date

**TONI PRECKWINKLE**

PRESIDENT  
Cook County Board  
of Commissioners

RICHARD R. BOYKIN  
1st District

DENNIS DEER  
2nd District

JERRY BUTLER  
3rd District

STANLEY MOORE  
4th District

DEBORAH SIMS  
5th District

EDWARD M. MOODY  
6th District

JESUS G. GARCIA  
7th District

LUIS ARROYO, JR.  
8th District

PETER N. SILVESTRI  
9th District

BRIDGET GAINER  
10th District

JOHN P. DALEY  
11th District

JOHN A. FRITCHEY  
12th District

LARRY SUFFREDIN  
13th District

GREGG GOSLIN  
14th District

TIMOTHY O. SCHNEIDER  
15th District

JEFFREY R. TOBOLSKI  
16th District

SEAN M. MORRISON  
17th District

## OFFICE OF CONTRACT COMPLIANCE

**JACQUELINE GOMEZ**

DIRECTOR

118 N. Clark County Building, Room 1020 • Chicago, Illinois 60602 • (312) 603-5502

May 1, 2018

Ms. Shannon E. Andrews  
Chief Procurement Officer  
118 N. Clark Street  
County Building-Room 1018  
Chicago, IL 60602

Re: Contract No. 1528-14472A (Amendment No. 1)  
Preliminary Engineering Service  
Department of Transportation and Highway

Dear Ms. Andrews:

The Office of Contract Compliance is in receipt of the above-reference contract amendment and has reviewed it for compliance with the Minority- and Women-owned Business Enterprises (MBE/WBE) Ordinance. After careful review, it has been determined this amendment is responsive to the Ordinance.

**Bidder:** Globetrotters Engineering Corporation**Original Contract Value:** \$2,200,000.00**Increased Contract Value:** \$1,300,000.00 (Amendment No. 1)**New Contract Value:** \$3,500,000.00**Contract Extension:** 12 months**New Contract Term:** June 15, 2019 through June 14, 2020**Contract Goal:** 35% DBE

<u>MBE/WBE</u>	<u>Status</u>	<u>Certifying Agency</u>	<u>Commitment (Direct)*</u>
Ardmore Roderick	DBE (6)	City of Chicago	5%
Geo Services, Inc.	DBE (9)	METRA	8%
Wynndalco Enterprises, LLC	DBE (9)	METRA	6.3%
Jones Moore Construction & Consulting.	DBE (6)	METRA	9.7%
Kowalenko Consulting Group, Inc.	DBE (7)	METRA	6%
Total			35%

\*Commitment percentages are based on the revised contract.

Original MBE/WBE forms were used in the determination of the responsiveness of this contract.

Sincerely,

Jacqueline Gomez  
Contract Compliance Director  
JG/ate

cc: Cho Ng, OCPO  
Tara Orbon, DOTH  
Noel Basquin, DOTH



**DBE UTILIZATION PLAN - FORM 1**

BIDDER?PROPOSER HEREBY STATES that all DBE firms included in this Plan are certified DBEs by at least one of the entities listed in the RFP.

**I. BIDDER/PROPOSER DBE STATUS:** (check the appropriate line)

- ☐ Bidder/Proposer is a certified DBE firm. (If so, attach copy of current Letter of Certification)
- ☐ Bidder/Proposer is a Joint Venture and one or more Joint Venture partners are certified DBEs. (If so, attach copies of Letter(s) of Certification, a copy of Joint Venture Agreement clearly describing the role of the DBE firm(s) and its ownership interest in the Joint Venture and a completed Joint Venture Affidavit – available online at [www.cookcountylil.gov/contractcompliance](http://www.cookcountylil.gov/contractcompliance))
- ☒ Bidder/Proposer is not a certified DBE firm, nor a Joint Venture with DBE partners, but will utilize DBE firms either directly or indirectly in the performance of the Contract. (If so, complete Sections II below and the Letter(s) of Intent – Form 2).

**II.** ☒ **Direct Participation of DBE Firms** ☐ **Indirect Participation of DBE Firms**

**NOTE: Where goals have not been achieved through direct participation, Bidder/Proposer shall include documentation outlining efforts to achieve Direct Participation at the time of Bid/Proposal submission. Indirect Participation will only be considered after all efforts to achieve Direct Participation have been exhausted. Only after written documentation of Good Faith Efforts is received will Indirect Participation be considered.**

DBEs that will perform as subcontractors/suppliers/consultants include the following:

DBE Firm: Ardmore Roderick

Address: 1327 W. Washington Blvd., Suite 105 Chicago, IL 60607

E-mail: rashod@ardmoreroderick.com

Contact Person: Rashod R. Johnson Phone: (312) 795-1400

Dollar Amount Participation: \$ TBD

Percent Amount of Participation: 5 %

\*Letter of Intent attached? Yes X No           

\*Current Letter of Certification attached? Yes X No           

DBE Firm: Kowalenko Consulting Group, Inc.

Address: 474 Central Ave., Suite 205, Highland Park, IL 60035

E-mail: ekowalenko@kowalenkogroup.com

Contact Person: Emma Kowalenko Phone: (847) 433-8747

Dollar Amount Participation: \$ TBD

Percent Amount of Participation: 6 %

\*Letter of Intent attached? Yes X No           

\*Current Letter of Certification attached? Yes X No           

*Attach additional sheets as needed.*

**\* Letter(s) of Intent and current Letters of Certification must be submitted at the time of bid.**

**DBE UTILIZATION PLAN - FORM 1**

BIDDER/PROPOSER HEREBY STATES that all DBE firms included in this Plan are certified DBEs by at least one of the entities listed in the RFP.

**I. BIDDER/PROPOSER DBE STATUS:** (check the appropriate line)

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- ☐ Bidder/Proposer is a Joint Venture and one or more Joint Venture partners are certified DBEs. (If so, attach copies of Letter(s) of Certification, a copy of Joint Venture Agreement clearly describing the role of the DBE firm(s) and its ownership interest in the Joint Venture and a completed Joint Venture Affidavit – available online at [www.cookcountylil.gov/contractcompliance](http://www.cookcountylil.gov/contractcompliance))
- ☒ Bidder/Proposer is not a certified DBE firm, nor a Joint Venture with DBE partners, but will utilize DBE firms either directly or indirectly in the performance of the Contract. (If so, complete Sections II below and the Letter(s) of Intent – Form 2).

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DBEs that will perform as subcontractors/suppliers/consultants include the following:

DBE Firm: Geo Services, Inc.

Address: 805 Amherst Court, Suite 204 Naperville, IL 60565

E-mail: julianrueda@geoservicesinc.net

Contact Person: Julian Rueda Phone: (630) 305-9186

Dollar Amount Participation: \$ TBD

Percent Amount of Participation: 8 %

\*Letter of Intent attached? Yes ☒ No ☐

\*Current Letter of Certification attached? Yes ☒ No ☐

DBE Firm: Wynndalco Enterprises, LLC

Address: 400 N. Michigan Ave, Suite 500

E-mail: j.ehrhart@wynndalco.com

Contact Person: Jeffrey Ehrhart Phone: (312) 356-9090

Dollar Amount Participation: \$ TBD

Percent Amount of Participation: 6.3 %

\*Letter of Intent attached? Yes ☒ No ☐

\*Current Letter of Certification attached? Yes ☒ No ☐

*Attach additional sheets as needed.*

**\* Letter(s) of Intent and current Letters of Certification must be submitted at the time of bid.**

**DBE UTILIZATION PLAN - FORM 1**

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- \_\_\_ Bidder/Proposer is a Joint Venture and one or more Joint Venture partners are certified DBEs. (If so, attach copies of Letter(s) of Certification, a copy of Joint Venture Agreement clearly describing the role of the DBE firm(s) and its ownership interest in the Joint Venture and a completed Joint Venture Affidavit – available online at [www.cookcountyil.gov/contractcompliance](http://www.cookcountyil.gov/contractcompliance))
- ☒ Bidder/Proposer is not a certified DBE firm, nor a Joint Venture with DBE partners, but will utilize DBE firms either directly or indirectly in the performance of the Contract. (If so, complete Sections II below and the Letter(s) of Intent – Form 2).

**II. ☒ Direct Participation of DBE Firms ☐ Indirect Participation of DBE Firms**

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DBEs that will perform as subcontractors/suppliers/consultants include the following:

DBE Firm: Jones Moore Construction & Consulting, LLC

Address: 180 N. Michigan Ave., #2407

E-mail: shilu@jones-moore.com

Contact Person: Shilu Agrawal Phone: (312) 782-8970

Dollar Amount Participation: \$ TBD

Percent Amount of Participation: 9.7 %

\*Letter of Intent attached? Yes ☒ No ☐  
 \*Current Letter of Certification attached? Yes ☒ No ☐

DBE Firm: \_\_\_\_\_

Address: \_\_\_\_\_

E-mail: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Phone: \_\_\_\_\_

Dollar Amount Participation: \$ \_\_\_\_\_

Percent Amount of Participation: \_\_\_\_\_ %

\*Letter of Intent attached? Yes \_\_\_\_\_ No \_\_\_\_\_  
 \*Current Letter of Certification attached? Yes \_\_\_\_\_ No \_\_\_\_\_

*Attach additional sheets as needed.*

**\* Letter(s) of Intent and current Letters of Certification must be submitted at the time of bid.**

**DBE LETTER OF INTENT - FORM 2**

DBE Firm: Ardmore Roderick  
 Contact Person: Rashod R. Johnson, P.E.  
 Address: 1327 W Washington Blvd Ste 105  
 City/State: Chicago, IL Zip: 60607  
 Phone: (312) 795-1400 Fax: (773) 289-0567  
 Email: rashod@ardmoreroderick.com  
 Participation: ☒ Direct ☐ Indirect

Certifying Agency: City of Chicago  
 Certification Expiration Date: 10/15/2018  
 Ethnicity: African American  
 Bid/Proposal/Contract #: 1528-14472 A-A1  
 FEIN #: 55-0816437

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

☒ No ☐ Yes - Please attach explanation. Proposed Subcontractor(s): \_\_\_\_\_

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: (If more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)

**Surveying Services**

Indicate the **Dollar Amount**, **Percentage**, and the **Terms of Payment** for the above-described Commodities/ Services:

**5% Participation**

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Signature (DBE)

Rashod R. Johnson

Print Name

Ardmore Roderick

Firm Name

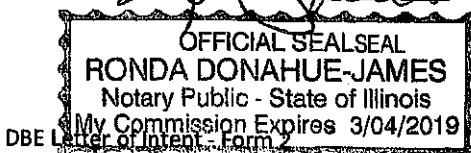
April 13, 2018

Date

Subscribed and sworn before me

this 13 day of April, 2018

Notary Public



DBE Letter of Intent - Form 2

Signature (Prime Bidder/Proposer)

Michael J. McMurray

Print Name

Globetrotters Engineering Corporation

Firm Name

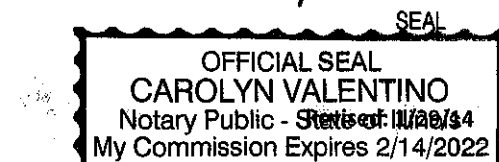
April 13, 2018

Date

Subscribed and sworn before me

this 13 day of April, 2018

Notary Public



Notary Public - State of Illinois  
 My Commission Expires 2/14/2022



DEPARTMENT OF PROCUREMENT SERVICES

CITY OF CHICAGO

JAN 17 2018

Rashod Johnson  
The Roderick Group, Inc., d/b/a Ardmore Roderick  
1327 West Washington Blvd., Suite 105  
Chicago, IL 60607

REVISED

Dear Rashod Johnson:

The City of Chicago has reviewed your annual *No Change Affidavit* and supporting documentation and is pleased to inform you that your firm **The Roderick Group, Inc., d/b/a Ardmore Roderick** continues to meet the **Disadvantaged Business Enterprise ("DBE")** program certification eligibility standards set forth in 49 CFR Part 26. Your next No Change Affidavit is due October 15, 2018.

This certification allows your firm to participate as a DBE in the Illinois Unified Certification Program (IL UCP). The participating agencies include the Illinois Department of Transportation, the City of Chicago, the Chicago Transit Authority, Metra and Pace.

If there is any change in circumstances during the course of your certification period that affect your ability to meet size, disadvantaged status, ownership, or control requirements or any material change in the information provided in your initial application, you must provide written notification to this agency within **thirty (30) days** of the occurrence of the change. Failure to provide this information is a ground for denial of certification based on failure to cooperate pursuant to 49 CFR 26.109(c).

Your firm's name will appear in the IL UCP DBE Directory under the following category name(s):

**NAICS Code(s)**

**236220 - Construction management, commercial and institutional building**  
**237130 - Construction management, power and communication transmission line**  
**237310 - Construction management, highway, road, street and bridge**  
**237990 - Construction management, mass transit**  
**541330 - Civil engineering services**  
**541330 - Engineering design services**

JAN 17 2018

**541330 - Engineering services**

**541370 - Surveying and mapping services (except geophysical)**

**541611 - Administrative and General management Consulting Services**

The Directory is used by prime contractors/consultants, as well as other agencies, to solicit participation of DBE, and ACDBE firms. The Directory can be accessed on the Internet at: <https://webapps.dot.illinois.gov/UCP/ExternalSearch>

Your participation on contracts will only be credited toward **DBE** contract goals when you perform in your firm's approved area(s) of specialty. Credit for participation in an area outside your specialty requires prior approval (verification of resources, expertise, and corresponding support documentation, etc.).

Sincerely,



Rich Butler  
First Deputy Procurement Officer

RB/sl

**DBE LETTER OF INTENT - FORM 2**DBE Firm: Kowalenko Consulting Group, Inc.Certifying Agency: MetraContact Person: Emma KowalenkoCertification Expiration Date: January 10, 2019Address: 474 Central Ave., Suite 205Ethnicity: CaucasianCity/State: Highland Park, IL Zip: 60035Bid/Proposal/Contract #: 1528-14472 A-A1Phone: (847) 433-8747 Fax: (844) 270-5522FEIN #: 36-2612802Email: ekowalenko@kowalenkogroup.comParticipation: ☒ Direct ☐ Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

☒ No ☐ Yes - Please attach explanation. Proposed Subcontractor(s): \_\_\_\_\_

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: (if more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)

Environmental ServicesIndicate the Dollar Amount, Percentage, and the Terms of Payment for the above-described Commodities/ Services:6% Participation

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Signature (DBE)

Emma Kowalenko

Print Name

Kowalenko Consulting Group, Inc.

Firm Name

April 12, 2018

Date

Subscribed and sworn before me

this 12 day of April, 2018

Notary Public



DBE Letter of Intent Form 2

Signature (Prime Bidder/Proposer)

Michael J. McMurray

Print Name

Globetrotters Engineering Corporation

Firm Name

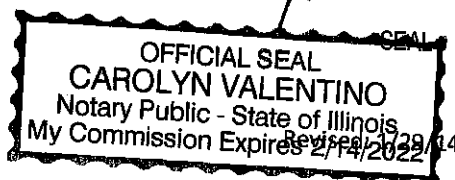
April 13, 2018

Date

Subscribed and sworn before me

this 13 day of April, 2018

Notary Public



My Commission Expires 2/14/2022



547 W. Jackson Boulevard, Chicago, IL 60661

312.322.6900

metrarail.com

January 11, 2018

Emma Kowalenko  
Kowalenko Consulting Group, Inc.  
474 Central Avenue, Suite 205  
Highland Park, IL 60035

Dear Ms. Kowalenko:

Metra has reviewed your annual *No Change Affidavit* and supporting documentation and is pleased to inform you that your firm continues to meet the Disadvantaged Business Enterprise (DBE) program certification eligibility standards set forth in 49 CFR Subpart D 26.61. Your next No Change Affidavit is due **January 10, 2019**.

This certification allows your firm to participate as a DBE in the Illinois Unified Certification Program (IL UCP). The participating agencies include the Illinois Department of Transportation, the City of Chicago, the Chicago Transit Authority, Metra and Pace.

If there is any change in your certification that affect your ability to meet size standards, disadvantaged status, ownership, or control requirements or any material change in the information provided in your initial application, you must provide written notification to this agency within thirty (30) days of the occurrence of the change. Failure to provide this information is grounds for removal of certification based on failure to cooperate pursuant to 49 CFR 26.109(c).

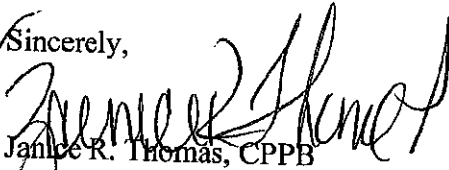
Your firm's name will appear in the IL UCP directory, which is used by prime contractors/consultants, as well as other agencies, to solicit participation of DBE firms. The Directory can be accessed at [www.metrarail.com](http://www.metrarail.com) under the Business Diversity DBE link. Your firm's name will appear in the IL UCP DBE Directory under the following:

NAICS Code: 541330, 541611, 541614, 541620

Specialty: 541330 – Construction Inspection  
541611 – Strategic Planning, E-Procurement, Organizational and Staff Development  
541614 – Transportation Planning, GIS;  
541620 – NEPA Studies, Historical Environmental Studies, Lead Certification

Your participation on contracts will only be credited toward DBE contract goals when your firm performs in a Commercially Useful Function (CUF) in its approved area(s) of specialty.

Sincerely,

  
Janice R. Thomas, CPPB  
Senior Division Director  
Office of Diversity & Civil Rights

JRT:am



**DBE LETTER OF INTENT - FORM 2**DBE Firm: Geo Services, Inc.Certifying Agency: MetraContact Person: Julian RuedaCertification Expiration Date: 20 June 2018Address: 805 Amherst Court, Suite 204Ethnicity: HispanicCity/State: Naperville, IL Zip: 60565Bid/Proposal/Contract #: 1528-14472 A-A1Phone: (630) 305-9186 Fax: (630) 255-2838FEIN #: 36-4390972Email: julianrueda@geoservices.netParticipation: ☐ Direct ☒ Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

☒ No ☐ Yes -- Please attach explanation. Proposed Subcontractor(s): N/A

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: (If more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)

Geotechnical Engineering ServicesIndicate the **Dollar Amount**, **Percentage**, and the **Terms of Payment** for the above-described Commodities/ Services:8% Participation

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Signature (DBE)

Andrew Ptak

Print Name

Geo Services, Inc.

Firm Name

April 12, 2018

Date

Signature (Prime Bidder/Proposer)

Michael J. McMurray

Print Name

Globetrotters Engineering Corporation

Firm Name

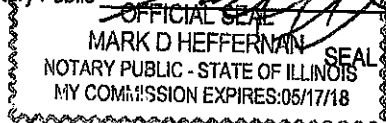
April 12, 2018

Date

Subscribed and sworn before me

this 12 day of April, 2018

Notary Public



Subscribed and sworn before me

this 12 day of April, 2018

Notary Public

SEAL



547 W. Jackson Boulevard, Chicago, IL 60661

312.322.6900

metrarail.com

June 21, 2017

Julian Rueda  
Geo Services, Inc. DBA S & H Electric Company, Inc.  
805 Amherst Court, Suite 204  
Naperville, IL 60565-3448

Dear Mr. Rueda:

Metra has reviewed your annual *No Change Affidavit* and supporting documentation and is pleased to inform you that your firm continues to meet the Disadvantaged Business Enterprise (DBE) program certification eligibility standards set forth in 49 CFR Subpart D 26.61. Your next No Change Affidavit is due **June 20, 2018**. Notification will be sent to you sixty (60) days prior to this date.

This certification allows your firm to participate as a DBE in the Illinois Unified Certification Program (IL UCP). The participating agencies include the Illinois Department of Transportation, the City of Chicago, the Chicago Transit Authority, Metra and Pace.

If there is any change in your certification that affect your ability to meet size standards, disadvantaged status, ownership, or control requirements or any material change in the information provided in your initial application, you must provide written notification to this agency within thirty (30) days of the occurrence of the change. Failure to provide this information is grounds for removal of certification based on failure to cooperate pursuant to 49 CFR 26.109(c).

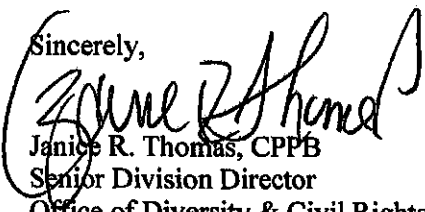
Your firm's name will appear in the IL UCP directory, which is used by prime contractors/consultants, as well as other agencies, to solicit participation of DBE firms. The Directory can be accessed at [www.metrarail.com](http://www.metrarail.com) under the Business Diversity DBE link. Your firm's name will appear in the IL UCP DBE Directory under the following:

NAICS Code: 238910, 541380, 541330, 238210

Specialty: 238910 – Boring and Test Boring for Construction, Core and Soil Test Drilling  
541380 – Materials Testing Laboratory Services  
541330 – Geo-Technical, Environmental, Construction Inspection, Construction QA/QC  
238210 – Electrical Contractor

Your participation on contracts will only be credited toward DBE contract goals when your firm performs in a Commercially Useful Function (CUF) in its approved area(s) of specialty.

Sincerely,

  
Janice R. Thomas, CPPB  
Senior Division Director  
Office of Diversity & Civil Rights

JRT:am/kb

AM

**DBE LETTER OF INTENT - FORM 2**

DBE Firm: Wynndalco Enterprises, LLC Certifying Agency: Metra  
 Contact Person: Jeffrey Ehrhart Certification Expiration Date: 2/19/2019  
 Address: 19081 Old LaGrange Rd, Ste 106 Ethnicity: Hispanic  
 City/State: Mokena, IL Zip: 60448 Bid/Proposal/Contract #: 1528-14472 A-A1  
 Phone: (312) 256-9090 Fax: (312) 256-9091 FEIN #: 26-4337593  
 Email: j.ehrhart@wynndalco.com  
 Participation: ☒ Direct ☐ Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

☒ No ☐ Yes - Please attach explanation. Proposed Subcontractor(s): \_\_\_\_\_

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: (If more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)

Structural Engineering

Indicate the Dollar Amount, Percentage, and the Terms of Payment for the above-described Commodities/ Services:

6.3% Participation

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Jeffrey C. Ehrhart  
 Signature (DBE)  
 Jeffrey C. Ehrhart  
 Print Name  
 Wynndalco Enterprises, LLC  
 Firm Name  
4-12-18  
 Date

Subscribed and sworn before me

this 12<sup>th</sup> day of APRIL, 2018.

Notary Public Deborah Oppenhaus



Michael J. McMurray  
 Signature (Prime Bidder/Proposer)  
 Michael J. McMurray  
 Print Name  
 Globetrotters Engineering Corporation  
 Firm Name  
April 13, 2018  
 Date

Subscribed and sworn before me

this 13 day of April, 2018.

Notary Public Carolyn Valentino





547 W. Jackson Boulevard, Chicago, IL 60661

312.322.6900

metrarail.com

February 20, 2018

David R. Andalcio  
Wynndalco Enterprises, LLC  
19081 Old LaGrange Road, STE 106  
Mokena, IL 60448

Dear Mr. Andalcio:

Metra has reviewed your annual *No Change Affidavit* and supporting documentation and is pleased to inform you that your firm continues to meet the Disadvantaged Business Enterprise (DBE) program certification eligibility standards set forth in 49 CFR Subpart D 26.61. Your next No Change Affidavit is due **February 19, 2019**. Notification will be sent to you sixty (60) days prior to this date.

This certification allows your firm to participate as a DBE in the Illinois Unified Certification Program (IL UCP). The participating agencies include the Illinois Department of Transportation, the City of Chicago, the Chicago Transit Authority, Metra and Pace.

If there is any change in your certification that affect your ability to meet size standards, disadvantaged status, ownership, or control requirements or any material change in the information provided in your initial application, you must provide written notification to this agency within thirty (30) days of the occurrence of the change. Failure to provide this information is grounds for removal of certification based on failure to cooperate pursuant to 49 CFR 26.109(c).

Your firm's name will appear in the IL UCP directory, which is used by prime contractors/consultants, as well as other agencies, to solicit participation of DBE firms. The Directory can be accessed at [www.metrarail.com](http://www.metrarail.com) under the Business Diversity DBE link. Your firm's name will appear in the IL UCP DBE Directory under the following:

NAICS Code: 541330, 541512

Specialty: 541330 – Engineering Services  
541512 – Information Technology Deployment, System Integration, Information Technology Training, Wireless Networks, Information Technology Project Management and Database Development

Your participation on contracts will only be credited toward DBE contract goals when your firm performs in a Commercially Useful Function (CUF) in its approved area(s) of specialty.

Sincerely,

Janice R. Thomas, CPPB  
Senior Division Director  
Office of Diversity & Civil Rights

JRT:ms/kb

MS

**DBE LETTER OF INTENT - FORM 2**

DBE Firm: Jones Moore Construction & Consulting, LLC Certifying Agency: Illinois Dept. of Transportati  
 Contact Person: Mr. Shilu Agrawal Certification Expiration Date: None  
 Address: 180 N. Michigan Ave., #2407 Ethnicity: African American  
 City/State: Chicago, IL Zip: 60601 Bid/Proposal/Contract #: 1528-14472 A-A1  
 Phone: (312) 782-8970 Fax: (312) 782-8990 FEIN #: 27-3766011  
 Email: shilu@jones-moore.com  
 Participation: ☒ Direct ☐ Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

☒ No ☐ Yes - Please attach explanation. Proposed Subcontractor(s): \_\_\_\_\_

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: (If more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)

Civil Engineering Assistance

Indicate the Dollar Amount, Percentage, and the Terms of Payment for the above-described Commodities/ Services:

9.7% Participation

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Shilu S. Agrawal  
Signature (DBE)

Shilu S. Agrawal

Print Name  
Jones Moore Construction & Consulting, LLC

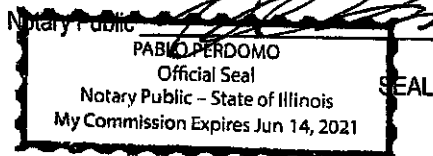
Firm Name

April 13, 2018

Date

Subscribed and sworn before me

this 13 day of April, 2018



DBE Letter of Intent - Form 2

Michael J. McMurray  
Signature (Prime Bidder/Proposer)

Michael J. McMurray

Print Name

Globetrotters Engineering Corporation

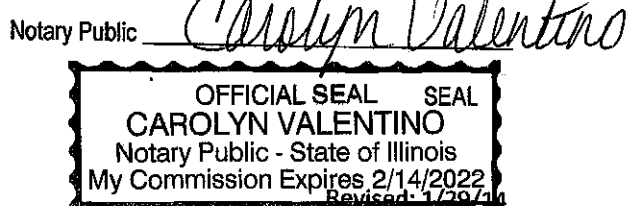
Firm Name

April 13, 2018

Date

Subscribed and sworn before me

this 13 day of April, 2018





# Illinois Department of Transportation

Office of Business & Workforce Diversity  
2300 South Dirksen Parkway / Springfield, Illinois 62764

March 18, 2015

## CERTIFIED-RETURN RECEIPT REQUESTED

Louis Jones  
Jones Moore Construction & Consulting LLC  
180 N. Michigan Ave., Ste. 2407  
Chicago, IL 60601

Dear Louis Jones:

The Illinois Department of Transportation (IDOT), your host agency, is pleased to notify you that your firm has met the requirements for Disadvantaged Business Enterprise (DBE) program certification in accordance with the governing federal regulations, 49 CFR part 26.

This certification allows your firm to participate as a DBE in the Illinois Unified Certification Program (IL UCP). The participating agencies include the Illinois Department of Transportation, the City of Chicago, the Chicago Transit Authority, Metra, and Pace.

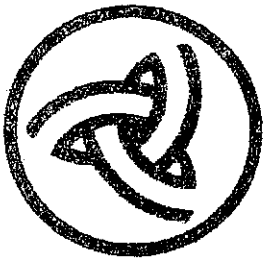
Your certification is approved commencing on **February 17, 2015**. To remain certified with the IL UCP you must submit a *No Change Affidavit* each year. Notification will be sent to you sixty (60) days prior to the anniversary date of your certification. It is your responsibility to ensure that your certification is kept current by submitting the required information in a timely manner. Failure to provide this information is a ground for removal of certification based on failure to cooperate pursuant to 49 CFR 26.109(c).

If there is any change in circumstances that affects your ability to meet size, disadvantaged status, ownership, or control requirements or any material change in the information provided in your application, you must provide written notification to this agency within thirty (30) days of the occurrence of the change. Failure to provide this information is a ground for removal of certification pursuant to 49 CFR 26.83(i).

Your firm's name will appear in the IL UCP DBE Directory in the following area(s) of specialty:

ENGINEERING SERVICES  
CONSTRUCTION INSPECTION  
CONSTRUCTION MANAGEMENT

This Directory is used by prime contractors/consultants, as well as other agencies, to solicit participation of DBE firms. The Directory can be accessed on the Internet at <http://www.dot.state.il.us/ucp/ucp.html>



# Illinois Department of Transportation

**Jones Moore Construction & Consulting LLC**

is hereby certified as a

## **Disadvantaged Business Enterprise**

This certificate is valid under current firm ownership and operational control only and supersedes any authorization or listing previously issued.

Randall S. Blankenhorn  
Acting Secretary  
Illinois Department of Transportation

Bruce Harmering  
Acting Deputy Director  
Bureau of Small Business Enterprises

Effective the 17<sup>th</sup> day of February 2015

# Unified Certification Program - Search

[Contractor Details](#)[Browse F.A.Q. Sheet \(/UCP/Search/Help\)](#)[Print](#)**Jones Moore  
Construction &  
Consulting LLC**

Louis Jones  
180 N. Michigan Ave.,  
Ste. 2407  
Chicago, IL 60601

**County:** Cook

**Email:** louis.jones@jones-moore.com

**Phone:** (312) 782-8970

**Fax:** (312) 782-8990

**Categories:** Architecture\Engineering, Professional

**NAICS**

237310-Highway, Street, &  
Bridge Construction  
541330-Engineering  
Services

**Speciality**

237310- CONSTRUCTION  
MANAGEMENT  
541330- ENGINEERING  
SERVICES  
CONSTRUCTION  
INSPECTION



**PETITION FOR REDUCTION/WAIVER OF DBE PARTICIPATION – FORM 3****A. BIDDER/PROPOSER HEREBY REQUESTS:**

- ☐ **FULL DBE WAIVER**
- ☐ **REDUCTION (PARTIAL DBE PARTICIPATION)**

\_\_\_\_\_ % of Reduction for DBE Participation

**B. REASON FOR FULL/REDUCTION WAIVER REQUEST**

Bidder/Proposer shall check each item applicable to its reason for a waiver request. Additionally, supporting documentation shall be submitted with this request.

- ☐ (1) Lack of sufficient qualified DBEs capable of providing the goods or services required by the contract. **(Please explain)**
- ☐ (2) The specifications and necessary requirements for performing the contract make it impossible or economically infeasible to divide the contract to enable the contractor to utilize DBEs in accordance with the applicable participation. **(Please explain)**
- ☐ (3) Price(s) quoted by potential DBEs are above competitive levels and increase cost of doing business and would make acceptance of such DBE bid economically impracticable, taking into consideration the percentage of total contract price represented by such DBE bid. **(Please explain)**
- ☐ (4) There are other relevant factors making it impossible or economically infeasible to utilize DBE firms. **(Please explain)**

**C. GOOD FAITH EFFORTS TO OBTAIN DBE PARTICIPATION**

- ☐ (1) Made timely written solicitation to identified DBEs for utilization of goods and/or services; and provided DBEs with a timely opportunity to review and obtain relevant specifications, terms and conditions of the proposal to enable DBEs to prepare an informed response to solicitation. **(Attach of copy written solicitations made)**
- ☐ (2) Used the services and assistance of the Office of Contract Compliance staff. **(Please explain)**
- ☐ (3) Timely notified and used the services and assistance of community, minority and women business organizations. **(Attach of copy written solicitations made)**
- ☐ (4) Followed up on initial solicitation of DBEs to determine if firms are interested in doing business. **(Attach supporting documentation)**
- ☐ (5) Engaged DBEs for direct/indirect participation. **(Please explain)**

**D. OTHER RELEVANT INFORMATION**

Attach any other documentation relative to Good Faith Efforts in complying with DBE participation.

**COOK COUNTY  
ECONOMIC DISCLOSURE STATEMENT  
AND EXECUTION DOCUMENT  
INDEX**

<b>Section</b>	<b>Description</b>	<b>Pages</b>
1	Instructions for Completion of EDS	EDS i - ii
2	Certifications	EDS 1- 2
3	Economic and Other Disclosures, Affidavit of Child Support Obligations, Disclosure of Ownership Interest and Familial Relationship Disclosure Form	EDS 3 – 12
4	Cook County Affidavit for Wage Theft Ordinance	EDS 13-14
5	Contract and EDS Execution Page	EDS 15-17

**SECTION 1**  
**INSTRUCTIONS FOR COMPLETION OF**  
**ECONOMIC DISCLOSURE STATEMENT AND EXECUTION DOCUMENT**

This Economic Disclosure Statement and Execution Document ("EDS") is to be completed and executed by every Bidder on a County contract, every Proposer responding to a Request for Proposals, and every Respondent responding to a Request for Qualifications, and others as required by the Chief Procurement Officer. The execution of the EDS shall serve as the execution of a contract awarded by the County. The Chief Procurement Officer reserves the right to request that the Bidder or Proposer, or Respondent provide an updated EDS on an annual basis.

**Definitions.** Terms used in this EDS and not otherwise defined herein shall have the meanings given to such terms in the Instructions to Bidders, General Conditions, Request for Proposals, Request for Qualifications, as applicable.

*Affiliate* means a person that directly or indirectly through one or more intermediaries, Controls is Controlled by, or is under common Control with the Person specified.

*Applicant* means a person who executes this EDS.

*Bidder* means any person who submits a Bid.

*Code* means the Code of Ordinances, Cook County, Illinois available on municode.com.

*Contract* shall include any written document to make Procurements by or on behalf of Cook County.

*Contractor* or *Contracting Party* means a person that enters into a Contract with the County.

*Control* means the unfettered authority to directly or indirectly manage governance, administration, work, and all other aspects of a business.

*EDS* means this complete Economic Disclosure Statement and Execution Document, including all sections listed in the Index and any attachments.

*Joint Venture* means an association of two or more Persons proposing to perform a for-profit business enterprise. Joint Ventures must have an agreement in writing specifying the terms and conditions of the relationship between the partners and their relationship and respective responsibility for the Contract

*Lobby* or *lobbying* means to, for compensation, attempt to influence a County official or County employee with respect to any County matter.

*Lobbyist* means any person who lobbies.

*Person* or *Persons* means any individual, corporation, partnership, Joint Venture, trust, association, Limited Liability Company, sole proprietorship or other legal entity.

*Prohibited Acts* means any of the actions or occurrences which form the basis for disqualification under the Code, or under the Certifications hereinafter set forth.

*Proposal* means a response to an RFP.

*Proposer* means a person submitting a Proposal.

*Response* means response to an RFQ.

*Respondent* means a person responding to an RFQ.

*RFP* means a Request for Proposals issued pursuant to this Procurement Code.

*RFQ* means a Request for Qualifications issued to obtain the qualifications of interested parties.

**INSTRUCTIONS FOR COMPLETION OF  
ECONOMIC DISCLOSURE STATEMENT AND EXECUTION DOCUMENT**

**Section 1: Instructions.** Section 1 sets forth the instructions for completing and executing this EDS.

**Section 2: Certifications.** Section 2 sets forth certifications that are required for contracting parties under the Code and other applicable laws. Execution of this EDS constitutes a warranty that all the statements and certifications contained, and all the facts stated, in the Certifications are true, correct and complete as of the date of execution.

**Section 3: Economic and Other Disclosures Statement.** Section 3 is the County's required Economic and Other Disclosures Statement form. Execution of this EDS constitutes a warranty that all the information provided in the EDS is true, correct and complete as of the date of execution, and binds the Applicant to the warranties, representations, agreements and acknowledgements contained therein.

**Required Updates.** The Applicant is required to keep all information provided in this EDS current and accurate. In the event of any change in the information provided, including but not limited to any change which would render inaccurate or incomplete any certification or statement made in this EDS, the Applicant shall supplement this EDS up to the time the County takes action, by filing an amended EDS or such other documentation as is required.

**Additional Information.** The County's Governmental Ethics and Campaign Financing Ordinances impose certain duties and obligations on persons or entities seeking County contracts, work, business, or transactions, and the Applicant is expected to comply fully with these ordinances. For further information please contact the Director of Ethics at (312) 603-4304 (69 W. Washington St. Suite 3040, Chicago, IL 60602) or visit the web-site at [cookcountylil.gov/ethics-board-of](http://cookcountylil.gov/ethics-board-of).

**Authorized Signers of Contract and EDS Execution Page.** If the Applicant is a corporation, the President and Secretary must execute the EDS. In the event that this EDS is executed by someone other than the President, attach hereto a certified copy of that section of the Corporate By-Laws or other authorization by the Corporation, satisfactory to the County that permits the person to execute EDS for said corporation. If the corporation is not registered in the State of Illinois, a copy of the Certificate of Good Standing from the state of incorporation must be submitted with this Signature Page.

If the Applicant is a partnership or joint venture, all partners or joint venturers must execute the EDS, unless one partner or joint venture has been authorized to sign for the partnership or joint venture, in which case, the partnership agreement, resolution or evidence of such authority satisfactory to the Office of the Chief Procurement Officer must be submitted with this Signature Page.

If the Applicant is a member-managed LLC all members must execute the EDS, unless otherwise provided in the operating agreement, resolution or other corporate documents. If the Applicant is a manager-managed LLC, the manager(s) must execute the EDS. The Applicant must attach either a certified copy of the operating agreement, resolution or other authorization, satisfactory to the County, demonstrating such person has the authority to execute the EDS on behalf of the LLC. If the LLC is not registered in the State of Illinois, a copy of a current Certificate of Good Standing from the state of incorporation must be submitted with this Signature Page.

If the Applicant is a Sole Proprietorship, the sole proprietor must execute the EDS.

A "Partnership" "Joint Venture" or "Sole Proprietorship" operating under an Assumed Name must be registered with the Illinois county in which it is located, as provided in 805 ILCS 405 (2012), and documentation evidencing registration must be submitted with the EDS.

Effective October 1, 2016 all foreign corporations and LLCs must be registered with the Illinois Secretary of State's Office unless a statutory exemption applies to the applicant. Applicants who are exempt from registering must provide a written statement explaining why they are exempt from registering as a foreign entity with the Illinois Secretary of State's Office.

**SECTION 2****CERTIFICATIONS**

THE FOLLOWING CERTIFICATIONS ARE MADE PURSUANT TO STATE LAW AND THE CODE. THE APPLICANT IS CAUTIONED TO CAREFULLY READ THESE CERTIFICATIONS PRIOR TO SIGNING THE SIGNATURE PAGE. SIGNING THE SIGNATURE PAGE SHALL CONSTITUTE A WARRANTY BY THE APPLICANT THAT ALL THE STATEMENTS, CERTIFICATIONS AND INFORMATION SET FORTH WITHIN THESE CERTIFICATIONS ARE TRUE, COMPLETE AND CORRECT AS OF THE DATE THE SIGNATURE PAGE IS SIGNED. THE APPLICANT IS NOTIFIED THAT IF THE COUNTY LEARNS THAT ANY OF THE FOLLOWING CERTIFICATIONS WERE FALSELY MADE, THAT ANY CONTRACT ENTERED INTO WITH THE APPLICANT SHALL BE SUBJECT TO TERMINATION.

**A. PERSONS AND ENTITIES SUBJECT TO DISQUALIFICATION**

No person or business entity shall be awarded a contract or sub-contract, for a period of five (5) years from the date of conviction or entry of a plea or admission of guilt, civil or criminal, if that person or business entity:

- 1) Has been convicted of an act committed, within the State of Illinois, of bribery or attempting to bribe an officer or employee of a unit of state, federal or local government or school district in the State of Illinois in that officer's or employee's official capacity;
- 2) Has been convicted by federal, state or local government of an act of bid-rigging or attempting to rig bids as defined in the Sherman Anti-Trust Act and Clayton Act. Act. 15 U.S.C. Section 1 *et seq.*;
- 3) Has been convicted of bid-rigging or attempting to rig bids under the laws of federal, state or local government;
- 4) Has been convicted of an act committed, within the State, of price-fixing or attempting to fix prices as defined by the Sherman Anti-Trust Act and the Clayton Act. 15 U.S.C. Section 1, *et seq.*;
- 5) Has been convicted of price-fixing or attempting to fix prices under the laws the State;
- 6) Has been convicted of defrauding or attempting to defraud any unit of state or local government or school district within the State of Illinois;
- 7) Has made an admission of guilt of such conduct as set forth in subsections (1) through (6) above which admission is a matter of record, whether or not such person or business entity was subject to prosecution for the offense or offenses admitted to; or
- 8) Has entered a plea of *nolo contendere* to charge of bribery, price-fixing, bid-rigging, or fraud, as set forth in subparagraphs (1) through (6) above.

In the case of bribery or attempting to bribe, a business entity may not be awarded a contract if an official, agent or employee of such business entity committed the Prohibited Act on behalf of the business entity and pursuant to the direction or authorization of an officer, director or other responsible official of the business entity, and such Prohibited Act occurred within three years prior to the award of the contract. In addition, a business entity shall be disqualified if an owner, partner or shareholder controlling, directly or indirectly, 20% or more of the business entity, or an officer of the business entity has performed any Prohibited Act within five years prior to the award of the Contract.

**THE APPLICANT HEREBY CERTIFIES THAT:** The Applicant has read the provisions of Section A, Persons and Entities Subject to Disqualification, that the Applicant has not committed any Prohibited Act set forth in Section A, and that award of the Contract to the Applicant would not violate the provisions of such Section or of the Code.

**B. BID-RIGGING OR BID ROTATING**

**THE APPLICANT HEREBY CERTIFIES THAT:** In accordance with 720 ILCS 5/33 E-11, neither the Applicant nor any Affiliated Entity is barred from award of this Contract as a result of a conviction for the violation of State laws prohibiting bid-rigging or bid rotating.

**C. DRUG FREE WORKPLACE ACT**

**THE APPLICANT HEREBY CERTIFIES THAT:** The Applicant will provide a drug free workplace, as required by (30 ILCS 580/3).

**D. DELINQUENCY IN PAYMENT OF TAXES**

**THE APPLICANT HEREBY CERTIFIES THAT:** *The Applicant is not an owner or a party responsible for the payment of any tax or fee administered by Cook County, such as bar award of a contract or subcontract pursuant to the Code, Chapter 34, Section 34-171.*

**E. HUMAN RIGHTS ORDINANCE**

No person who is a party to a contract with Cook County ("County") shall engage in unlawful discrimination or sexual harassment against any individual in the terms or conditions of employment, credit, public accommodations, housing, or provision of County facilities, services or programs (Code Chapter 42, Section 42-30 *et seq.*).

**F. ILLINOIS HUMAN RIGHTS ACT**

**THE APPLICANT HEREBY CERTIFIES THAT:** *It is in compliance with the Illinois Human Rights Act (775 ILCS 5/2-105), and agrees to abide by the requirements of the Act as part of its contractual obligations.*

**G. INSPECTOR GENERAL (COOK COUNTY CODE, CHAPTER 34, SECTION 34-174 and Section 34-250)**

The Applicant has not willfully failed to cooperate in an investigation by the Cook County Independent Inspector General or to report to the Independent Inspector General any and all information concerning conduct which they know to involve corruption, or other criminal activity, by another county employee or official, which concerns his or her office of employment or County related transaction.

The Applicant has reported directly and without any undue delay any suspected or known fraudulent activity in the County's Procurement process to the Office of the Cook County Inspector General.

**H. CAMPAIGN CONTRIBUTIONS (COOK COUNTY CODE, CHAPTER 2, SECTION 2-585)**

**THE APPLICANT CERTIFIES THAT:** It has read and shall comply with the Cook County's Ordinance concerning campaign contributions, which is codified at Chapter 2, Division 2, Subdivision II, Section 585, and can be read in its entirety at [www.municode.com](http://www.municode.com).

**I. GIFT BAN, (COOK COUNTY CODE, CHAPTER 2, SECTION 2-574)**

**THE APPLICANT CERTIFIES THAT:** It has read and shall comply with the Cook County's Ordinance concerning receiving and soliciting gifts and favors, which is codified at Chapter 2, Division 2, Subdivision II, Section 574, and can be read in its entirety at [www.municode.com](http://www.municode.com).

**J. LIVING WAGE ORDINANCE PREFERENCE (COOK COUNTY CODE, CHAPTER 34, SECTION 34-160;**

Unless expressly waived by the Cook County Board of Commissioners, the Code requires that a living wage must be paid to individuals employed by a Contractor which has a County Contract and by all subcontractors of such Contractor under a County Contract, throughout the duration of such County Contract. The amount of such living wage is annually by the Chief Financial Officer of the County, and shall be posted on the Chief Procurement Officer's website.

The term "Contract" as used in Section 4, I, of this EDS, specifically excludes contracts with the following:

- 1) Not-For Profit Organizations (defined as a corporation having tax exempt status under Section 501(C)(3) of the United State Internal Revenue Code and recognized under the Illinois State not-for-profit law);
- 2) Community Development Block Grants;
- 3) Cook County Works Department;
- 4) Sheriff's Work Alternative Program; and
- 5) Department of Correction inmates.

### SECTION 3

#### REQUIRED DISCLOSURES

##### 1. DISCLOSURE OF LOBBYIST CONTACTS

List all persons that have made lobbying contacts on your behalf with respect to this contract:

Name	Address
None.	

##### 2. LOCAL BUSINESS PREFERENCE STATEMENT (CODE, CHAPTER 34, SECTION 34-230)

*Local business* means a Person, including a foreign corporation authorized to transact business in Illinois, having a bona fide establishment located within the County at which it is transacting business on the date when a Bid is submitted to the County, and which employs the majority of its regular, full-time work force within the County. A Joint Venture shall constitute a Local Business if one or more Persons that qualify as a "Local Business" hold interests totaling over 50 percent in the Joint Venture, even if the Joint Venture does not, at the time of the Bid submittal, have such a bona fide establishment within the County.

a) Is Applicant a "Local Business" as defined above?

Yes: ☒ No: ☐

b) If yes, list business addresses within Cook County:

300 S. Wacker Drive, Suite 400, Chicago, IL 60606

c) Does Applicant employ the majority of its regular full-time workforce within Cook County?

Yes: ☒ No: ☐

##### 3. THE CHILD SUPPORT ENFORCEMENT ORDINANCE (CODE, CHAPTER 34, SECTION 34-172)

Every Applicant for a County Privilege shall be in full compliance with any child support order before such Applicant is entitled to receive or renew a County Privilege. When delinquent child support exists, the County shall not issue or renew any County Privilege, and may revoke any County Privilege.

**All Applicants are required to review the Cook County Affidavit of Child Support Obligations attached to this EDS (EDS-5) and complete the Affidavit, based on the instructions in the Affidavit.**

**4. REAL ESTATE OWNERSHIP DISCLOSURES.**

The Applicant must indicate by checking the appropriate provision below and providing all required information that either:

- a) The following is a complete list of all real estate owned by the Applicant in Cook County:

PERMANENT INDEX NUMBER(S): N/A

\_\_\_\_\_

(ATTACH SHEET IF NECESSARY TO LIST ADDITIONAL INDEX NUMBERS)

OR:

- b) ☒ The Applicant owns no real estate in Cook County.

**5. EXCEPTIONS TO CERTIFICATIONS OR DISCLOSURES.**

If the Applicant is unable to certify to any of the Certifications or any other statements contained in this EDS and not explained elsewhere in this EDS, the Applicant must explain below:

None.

If the letters, "NA", the word "None" or "No Response" appears above, or if the space is left blank, it will be conclusively presumed that the Applicant certified to all Certifications and other statements contained in this EDS.



**COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT**

The Cook County Code of Ordinances (§2-610 *et seq.*) requires that any Applicant for any County Action must disclose information concerning ownership interests in the Applicant. This Disclosure of Ownership Interest Statement must be completed with all information current as of the date this Statement is signed. Furthermore, this Statement must be kept current, by filing an amended Statement, until such time as the County Board or County Agency shall take action on the application. The information contained in this Statement will be maintained in a database and made available for public viewing. **County reserves the right to request additional information to verify veracity of information contained in this statement.**

If you are asked to list names, but there are no applicable names to list, you must state NONE. An incomplete Statement will be returned and any action regarding this contract will be delayed. A failure to fully comply with the ordinance may result in the action taken by the County Board or County Agency being voided.

"Applicant" means any Entity or person making an application to the County for any County Action.

"County Action" means any action by a County Agency, a County Department, or the County Board regarding an ordinance or ordinance amendment, a County Board approval, or other County agency approval, with respect to contracts, leases, or sale or purchase of real estate.

"Person" "Entity" or "Legal Entity" means a sole proprietorship, corporation, partnership, association, business trust, estate, two or more persons having a joint or common interest, trustee of a land trust, other commercial or legal entity or any beneficiary or beneficiaries thereof.

This Disclosure of Ownership Interest Statement must be submitted by :

1. An Applicant for County Action and
2. A Person that holds stock or a beneficial interest in the Applicant and is listed on the Applicant's Statement (a "Holder") must file a Statement and complete #1 only under **Ownership Interest Declaration**.

Please print or type responses clearly and legibly. Add additional pages if needed, being careful to identify each portion of the form to which each additional page refers.

This Statement is being made by the ☒ Applicant or ☐ Stock/Beneficial Interest Holder

This Statement is an: ☒ Original Statement or ☐ Amended Statement

**Identifying Information:**

Name Globetrotters Engineering Corporation

D/B/A: \_\_\_\_\_ FEIN # Only: 36-2819661

Street Address: 300 S. Wacker Drive, Suite 400

City: Chicago State: Illinois Zip Code: 60606

Phone No.: (312) 922-6400 Fax Number: (312) 922-0267 Email: \_\_\_\_\_

Cook County Business Registration Number: \_\_\_\_\_  
(Sole Proprietor, Joint Venture Partnership)

Corporate File Number (if applicable): \_\_\_\_\_

**Form of Legal Entity:**

☐ Sole Proprietor ☐ Partnership ☒ Corporation ☐ Trustee of Land Trust

☐ Business Trust ☐ Estate ☐ Association ☐ Joint Venture

☐ Other (describe) \_\_\_\_\_

**Ownership Interest Declaration:**

1. List the name(s), address, and percent ownership of each Person having a legal or beneficial interest (including ownership) of more than five percent (5%) in the Applicant/Holder.

Name	Address	Percentage Interest in Applicant/Holder
Ajay N. Shah	300 S. Wacker Drive, #400, Chicago, IL 60606	53.33%
Trust A c/u Shah 2011 Gift Trust	300 S. Wacker Drive, #400, Chicago, IL 60606	46.67%

2. If the interest of any Person listed in (1) above is held as an agent or agents, or a nominee or nominees, list the name and address of the principal on whose behalf the interest is held.

Name of Agent/Nominee	Name of Principal	Principal's Address
N/A		

3. Is the Applicant constructively controlled by another person or Legal Entity? [ ☐ ] Yes [ ☐ ] No  
If yes, state the name, address and percentage of beneficial interest of such person, and the relationship under which such control is being or may be exercised.

Name	Address	Percentage of Beneficial Interest	Relationship
N/A			

**Corporate Officers, Members and Partners Information:**

For all corporations, list the names, addresses, and terms for all corporate officers. For all limited liability companies, list the names, addresses for all members. For all partnerships and joint ventures, list the names, addresses, for each partner or joint venture.

Name	Address	Title (specify title of Office, or whether manager or partner/joint venture)	Term of Office
Please see attached.			

**Declaration (check the applicable box):**

- ☒ I state under oath that the Applicant has withheld no disclosure as to ownership interest in the Applicant nor reserved any information, data or plan as to the intended use or purpose for which the Applicant seeks County Board or other County Agency action.
- ☐ I state under oath that the Holder has withheld no disclosure as to ownership interest nor reserved any information required to be disclosed.

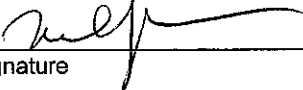
## Officers of Globetrotters Engineering Corporation

Name	Address	Title	Appointed Date
Niranjan S. Shah	300 S. Wacker Drive, Suite 400 Chicago, IL 60606	Chairman	01/2011 – Present
		CEO	11/1973 – 08/2017
		President & Secretary	12/2004 – 4/2013
		Treasurer and Assistant Secretary	N/A – 2005
Michael J. McMurray	300 S. Wacker Drive, Suite 400 Chicago, IL 60606	President	04/2013 – Present
		Chief Operating Officer	08/2006 – 04/2013
Ajay Shah	300 S. Wacker Drive, Suite 400 Chicago, IL 60606	CEO	08/2017 – Present
		Chief Operating Officer, General Counsel & Secretary	12/2014 – 08/2017
		Executive Vice President, General Counsel & Secretary	04/2013 – 12/2014
		Executive Vice President & General Counsel	2010 – 2012
		Vice President and General Counsel	2008 – 2010
Brian McPartlin	300 S. Wacker Drive, Suite 400 Chicago, IL 60606	Executive Vice President	08/2017 – Present
		Senior Vice President	04/2013 – 08/2017
Bruce Weber	300 S. Wacker Drive, Suite 400 Chicago, IL 60606	Vice President	08/2016 – Present
Ahmad I. Karim	300 S. Wacker Drive, Suite 400 Chicago, IL 60606	Vice President	07/2009 – Present
Michael J. Paulius	300 S. Wacker Drive, Suite 400 Chicago, IL 60606	Vice President	12/2006 – Present
Sung Lee	300 S. Wacker Drive, Suite 400 Chicago, IL 60606	Vice President	04/2013 – Present
Wendell Fransen	300 S. Wacker Drive, Suite 400 Chicago, IL 60606	Treasurer and Controller	12/2014 – Present
		Treasurer	4/2013 – 12/2014
Thakor Bhagwakar	300 S. Wacker Drive, Suite 400 Chicago, IL 60606	Director	07/2009 – Present
Nurul Chowdhury	300 S. Wacker Drive, Suite 400 Chicago, IL 60606	Director	01/2011 – Present
Vinod Thanki	300 S. Wacker Drive, Suite 400 Chicago, IL 60606	Director	01/2011 – Present

COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT SIGNATURE PAGE

Michael J. McMurray

Name of Authorized Applicant/Holder Representative (please print or type)



Signature

marketing@gec-group.com

E-mail address

President

Title

April 13, 2018

Date

(312) 922-6400

Phone Number

Subscribed to and sworn before me  
this 13<sup>th</sup> day of April, 2018.

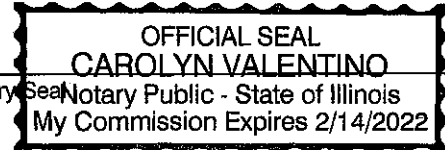
My commission expires:

2/14/2022

x

  
Notary Public Signature

Notary Seal





**COOK COUNTY BOARD OF ETHICS**  
 69 W. WASHINGTON STREET, SUITE 3040  
 CHICAGO, ILLINOIS 60602  
 312/603-4304 Office 312/603-9988 Fax

**FAMILIAL RELATIONSHIP DISCLOSURE PROVISION**

**Nepotism Disclosure Requirement:**

Doing a significant amount of business with the County requires that you disclose to the Board of Ethics the existence of any familial relationships with any County employee or any person holding elective office in the State of Illinois, the County, or in any municipality within the County. The Ethics Ordinance defines a significant amount of business for the purpose of this disclosure requirement as more than \$25,000 in aggregate County leases, contracts, purchases or sales in any calendar year.

If you are unsure of whether the business you do with the County or a County agency will cross this threshold, err on the side of caution by completing the attached familial disclosure form because, among other potential penalties, any person found guilty of failing to make a required disclosure or knowingly filing a false, misleading, or incomplete disclosure will be prohibited from doing any business with the County for a period of three years. The required disclosure should be filed with the Board of Ethics by January 1 of each calendar year in which you are doing business with the County and again with each bid/proposal/quotation to do business with Cook County. The Board of Ethics may assess a late filing fee of \$100 per day after an initial 30-day grace period.

The person that is doing business with the County must disclose his or her familial relationships. If the person on the County lease or contract or purchasing from or selling to the County is a business entity, then the business entity must disclose the familial relationships of the individuals who are and, during the year prior to doing business with the County, were:

- its board of directors,
- its officers,
- its employees or independent contractors responsible for the general administration of the entity,
- its agents authorized to execute documents on behalf of the entity, and
- its employees who directly engage or engaged in doing work with the County on behalf of the entity.

Do not hesitate to contact the Board of Ethics at (312) 603-4304 for assistance in determining the scope of any required familial relationship disclosure.

**Additional Definitions:**

*"Familial relationship"* means a person who is a spouse, domestic partner or civil union partner of a County employee or State, County or municipal official, or any person who is related to such an employee or official, whether by blood, marriage or adoption, as a:

- |                                  |  |  |
|----------------------------------|--|--|
| <input type="checkbox"/> Parent  | <input type="checkbox"/> Grandparent     | <input type="checkbox"/> Stepfather            |
| <input type="checkbox"/> Child   | <input type="checkbox"/> Grandchild      | <input checked="" type="checkbox"/> Stepmother |
| <input type="checkbox"/> Brother | <input type="checkbox"/> Father-in-law   | <input type="checkbox"/> Stepson               |
| <input type="checkbox"/> Sister  | <input type="checkbox"/> Mother-in-law   | <input type="checkbox"/> Stepdaughter          |
| <input type="checkbox"/> Aunt    | <input type="checkbox"/> Son-in-law      | <input type="checkbox"/> Stepbrother           |
| <input type="checkbox"/> Uncle   | <input type="checkbox"/> Daughter-in-law | <input type="checkbox"/> Stepsister            |
| <input type="checkbox"/> Niece   | <input type="checkbox"/> Brother-in-law  | <input type="checkbox"/> Halfbrother           |
| <input type="checkbox"/> Nephew  | <input type="checkbox"/> Sister-in-law   | <input type="checkbox"/> Half-sister           |

**COOK COUNTY BOARD OF ETHICS  
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

**A. PERSON DOING OR SEEKING TO DO BUSINESS WITH THE COUNTY**Name of Person Doing Business with the County: Globetrotters Engineering CorporationAddress of Person Doing Business with the County: 300 S. Wacker Drive, Suite 400, Chicago, IL 60606Phone number of Person Doing Business with the County: (312) 922-6400Email address of Person Doing Business with the County: marketing@gec-group.com

If Person Doing Business with the County is a Business Entity, provide the name, title and contact information for the individual completing this disclosure on behalf of the Person Doing Business with the County:

Michael J. McMurray, President, (312) 922-6400, marketing@gec-group.com

**B. DESCRIPTION OF BUSINESS WITH THE COUNTY**

*Append additional pages as needed and for each County lease, contract, purchase or sale sought and/or obtained during the calendar year of this disclosure (or the proceeding calendar year if disclosure is made on January 1), identify:*

The lease number, contract number, purchase order number, request for proposal number and/or request for qualification number associated with the business you are doing or seeking to do with the County: \_\_\_\_\_

Contract No. 1528-14472 A-A1: Preliminary Engineering Services

The aggregate dollar value of the business you are doing or seeking to do with the County: \$DUR

The name, title and contact information for the County official(s) or employee(s) involved in negotiating the business you are doing or seeking to do with the County: \_\_\_\_\_

Shannon E. Andrews, Chief Procurement Officer, (312) 603-5370

The name, title and contact information for the County official(s) or employee(s) involved in managing the business you are doing or seeking to do with the County: \_\_\_\_\_

Cook County Government Department of Transportation & Highways

**C. DISCLOSURE OF FAMILIAL RELATIONSHIPS WITH COUNTY EMPLOYEES OR STATE, COUNTY OR MUNICIPAL ELECTED OFFICIALS**

*Check the box that applies and provide related information where needed*

- ☐ The Person Doing Business with the County is **an individual** and there is **no familial relationship** between this individual and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.
- ☒ The Person Doing Business with the County is **a business entity** and there is **no familial relationship** between any member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity or employees directly engaged in contractual work with the County on behalf of the business entity, and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

**COOK COUNTY BOARD OF ETHICS  
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

- ☐ The Person Doing Business with the County is an individual and there is a familial relationship between this individual and at least one Cook County employee and/or a person or persons holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County. **The familial relationships are as follows:**

Name of Individual Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

*If more space is needed, attach an additional sheet following the above format.*

- ☐ The Person Doing Business with the County is a business entity and there is a familial relationship between at least one member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity and/or employees directly engaged in contractual work with the County on behalf of the business entity, on the one hand, and at least one Cook County employee and/or a person holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County, on the other. **The familial relationships are as follows:**

Name of Member of Board of Director for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Officer for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____





## SECTION 4

**COOK COUNTY AFFIDAVIT FOR WAGE THEFT ORDINANCE**

Effective May 1, 2015, every Person, **including Substantial Owners**, seeking a Contract with Cook County must comply with the Cook County Wage Theft Ordinance set forth in Chapter 34, Article IV, Section 179. Any Person/Substantial Owner, who fails to comply with Cook County Wage Theft Ordinance, may request that the Chief Procurement Officer grant a reduction or waiver in accordance with Section 34-179(d).

"Contract" means any written document to make Procurements by or on behalf of Cook County.

"Person" means any individual, corporation, partnership, Joint Venture, trust, association, limited liability company, sole proprietorship or other legal entity.

"Procurement" means obtaining supplies, equipment, goods, or services of any kind.

"Substantial Owner" means any person or persons who own or hold a twenty-five percent (25%) or more percentage of interest in any business entity seeking a County Privilege, including those shareholders, general or limited partners, beneficiaries and principals; except where a business entity is an individual or sole proprietorship, Substantial Owner means that individual or sole proprietor.

All Persons/Substantial Owners are required to complete this affidavit and comply with the Cook County Wage Theft Ordinance before any Contract is awarded. Signature of this form constitutes a certification the information provided below is correct and complete, and that the individual(s) signing this form has/have personal knowledge of such information. **County reserves the right to request additional information to verify veracity of information contained in this Affidavit.**

**I. Contract Information:**

Contract Number: 1528-14472 A-A1

County Using Agency (requesting Procurement): Cook County

**II. Person/Substantial Owner Information:**

Person (Corporate Entity Name): Globetrotters Engineering Corporation

Substantial Owner Complete Name: Ajay N. Shah

FEIN# 36-2819661

E-mail address: marketing@gec-group.com

Street Address: 300 S. Wacker Dr., Suite 400

City: Chicago State: IL Zip: 60606

Home Phone:

**III. Compliance with Wage Laws:**

Within the past five years has the Person/Substantial Owner, in any judicial or administrative proceeding, been convicted of, entered a plea, made an admission of guilt or liability, or had an administrative finding made for committing a repeated or willful violation of any of the following laws:

No *Illinois Wage Payment and Collection Act, 820 ILCS 115/1 et seq.,*

No *Illinois Minimum Wage Act, 820 ILCS 105/1 et seq.,*

No *Illinois Worker Adjustment and Retraining Notification Act, 820 ILCS 65/1 et seq.,*

No *Employee Classification Act, 820 ILCS 185/1 et seq.,*

No *Fair Labor Standards Act of 1938, 29 U.S.C. 201, et seq.,*

No *Any comparable state statute or regulation of any state, which governs the payment of wages*

If the Person/Substantial Owner answered "Yes" to any of the questions above, it is ineligible to enter into a Contract with Cook County, but can request a reduction or waiver under **Section IV**.

**IV. Request for Waiver or Reduction**

If Person/Substantial Owner answered "Yes" to any of the questions above, it may request a reduction or waiver in accordance with Section 34-179(d), provided that the request for reduction of waiver is made on the basis of one or more of the following actions that have taken place:

- No *There has been a bona fide change in ownership or Control of the ineligible Person or Substantial Owner*
- No *Disciplinary action has been taken against the individual(s) responsible for the acts giving rise to the violation*
- No *Remedial action has been taken to prevent a recurrence of the acts giving rise to the disqualification or default*
- No *Other factors that the Person or Substantial Owner believe are relevant.*

The Person/Substantial Owner must submit documentation to support the basis of its request for a reduction or waiver. The Chief Procurement Officer reserves the right to make additional inquiries and request additional documentation.

**V. Affirmation**

The Person/Substantial Owner affirms that all statements contained in the Affidavit are true, accurate and complete.

Signature: \_\_\_\_\_

Date: April 13, 2018

Name of Person signing (Print): Michael J. McMurray Title: President

Subscribed and sworn to before me this 13<sup>th</sup> day of April, 20 18

X \_\_\_\_\_

Notary Public Signature

Note: The above information is subject to verification prior to the award of the Contract.



**PLEASE EXECUTE THREE ORIGINAL COPIES**

The Applicant hereby certifies and warrants that all of the statements, certifications and representations set forth in this EDS are true, complete and correct; that the Applicant is in full compliance and will continue to be in compliance throughout the term of the Contract or County Privilege issued to the Applicant with all the policies and requirements set forth in this EDS; and that all facts and information provided by the Applicant in this EDS are true, complete and correct. The Applicant agrees to inform the Chief Procurement Officer in writing if any of such statements, certifications, representations, facts or information becomes or is found to be untrue, incomplete or incorrect during the term of the Contract or County Privilege.

## Date \_\_\_\_\_

**OFFICIAL SEAL**  
**CAROLYN VALENTINO**  
Notary Public - State of Illinois  
My Commission Expires 2/14/2022